



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 2

**Complete if Known**

Application Number	10/747,974
Filing Date	December 30, 2003
First Named Inventor	Levinson et al.
Art Unit	(TBA)
Examiner Name	(TBA)
Attorney Docket Number	06335.00007

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
US		4,298,955	11-03-81	Munday et al.	
		5,103,391	04-07-92	Barrett	
		5,631,839	05-20-97	Lemolne	
		5,691,895	11-25-97	Kurtzberg et al.	
		5,719,796	02-17-98	Chen	
		5,787,021	07-28-98	Samaha	
		5,798,945	08-25-98	Benda	
		5,838,906	11-17-98	Doyle et al.	
		5,862,054	01-19-99	Li	
		5,892,690	04-06-99	Boatman et al.	
		6,163,732	12-19-00	Petke et al.	
		6,437,692	08-20-02	Petite et al.	
		6,523,045	02-18-03	Beatty	
		6,539,271	03-25-03	Lech et al.	
		6,574,522	06-03-03	Douglas	
US		6,604,023	08-05-03	Brown et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Country Code <sup>4</sup> - Number <sup>5</sup> - Kind Code <sup>6</sup> (if known)				

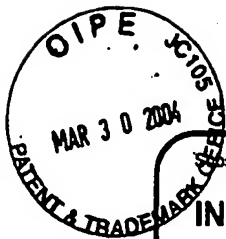
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SignatureDate  
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8/23/04

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	
		Filing Date	
		First Named Inventor	
		Group Art Unit	
		Examiner Name	
Sheet 2	of 2	Attorney Docket Number	

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
CS		Compliance Software Solutions Corp. web page description of its Environmental Monitoring Software System (EMSS) (3 sheets);	
		BD Diagnostic Systems, Industrial web page description of the Environmental Monitoring Software System (EMSS) of Compliance Software Solutions Corp. (2 sheets);	
		BD Diagnostic Systems, Industrial web page description of the Environmental Monitoring Software System (EMSS) of Compliance Software Solutions Corp. (3 sheets);	
		Compliance Software Solutions Corp. web page description of its Environmental Monitoring Software System (EMSS), in connection with 21 CFR Part 11 Compliance Assessment (4 sheets);	
CS		Compliance Software Solutions Corp. web page description of its Environmental Monitoring Software System (EMSS) (168 sheets).	

Examiner Signature	<i>Cal W. Z.</i>	Date Considered	<i>8/23/04</i>
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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